

#2  
5-28-02  
Mueller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Jc978 U.S. Pro  
10/07/3240  
02/13/02



Applicant(s): S. TAKATANI ET AL.

Serial No.: Not Yet Assigned  
(New divisional Application under  
37 CFR §1.53(b) of Application  
Serial no. 09/381,396)

Filed: February 13, 2002

For: SEMICONDUCTOR DEVICE AND FABRICATION  
METHOD THEREOF

Group: 2811

Examiner: D. KANG

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1671.97 & §1.98

Assistant Commissioner of Patents  
Washington, D. C. 20231

Feb. 13, 2002

Sir:

In the matter of the above-identified application, applicants are attaching hereto, in a form equivalent to Form PTO-1449, a listing of all the art documents of record (cited by the Examiner/submitted by applicants) in the chain of co-pending applications (as listed on page 1 of the Specification), pursuant to the duty of disclosure/candor requirements.

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified divisional application and, therefore, this IDS meets the requirements pursuant to 37 C.F.R. §1.97(b).

As to the requirement of 37 C.F.R. §1.98(a)(3) for any concise explanation of relevance to the extent that any of

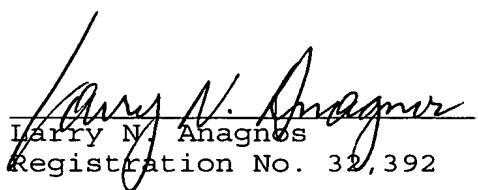
the listed documents is not in the English language, this is met by the discussion thereof in the original specification/prosecution history, the earlier submission of an English language International Search Report (a copy thereof also enclosed herewith) in connection with the counterpart PCT/JP98/01271 application, and the citation by the Examiner in the prior application.

It is understood that since copies of the listed documents should be available in the file wrapper of the prior application, copies thereof are not included herewith, consistent with 37 C.F.R. §1.98(d) and pursuant to the guidelines set forth in M.P.E.P. §609.

It is submitted this IDS is in compliance with the rules of practice as well as with U.S. PTO guidelines. Therefore, applicants respectfully request that it be entered and duly considered by the U.S. PTO. Also, acknowledgment of such entry as well as of a formal consideration thereof by the Examiner is respectfully requested.

If any costs are due in accordance with the filing of this Information Disclosure Statement, please charge same to the account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (520.37606VX1).

Respectfully submitted,  
ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
Larry N. Anagnos  
Registration No. 32,392

LNA/dks  
(703) 312-6600